



North Carolina Department of Health and Human Services
Division of Mental Health, Developmental Disabilities and Substance Abuse Services

Pat McCrory
Governor

Aldona Z. Wos, M.D.
Ambassador (Ret.)
Secretary DHHS

Jim Jarrard
Acting Division Director

April 17, 2013

MEMORANDUM

TO: LME/MCO Points of Contact
LME/MCO Directors

FROM: Sandee Resnick, Accountability Team Leader

RE: 2012/2013 Monitoring of Substance Abuse and Community Mental Health
Services Federal Block Grants

Between May 15, 2013 and June 30, 2013, the NC Division of Mental Health, Developmental Disabilities and Substance Abuse Services will conduct LME/MCO compliance monitoring for a variety of elements related to federally funded Substance Abuse and Mental Health Programs.

Block Grant monitoring consists of a review of requirements for the programs listed below. They also include record reviews for specific service requirements. For Fiscal Year 2012/2013, the LMEs/MCOs, for all providers of the program and individual record reviews indicated below, must ensure that there is sufficient documentation present at the review site to adequately respond to the questions per review tool. They must also ensure that staff familiar with the records are present at the review site.

Program Reviews

- CASAWORKS
- SAPTBG PREVENTION
- SAPTBG TREATMENT PROGRAM (for women who are pregnant and/or have dependent children)
- SAPTBG TREATMENT PROGRAM (for IV Drug Users)
- WORK FIRST
- CMHSBG

Individual Record Reviews

- CASAWORKS Individual
- SAPTBG Individual
- JJSAMHP (Formerly MAJORS)
- WORK FIRST Individual

www.ncdhhs.gov • www.ncdhhs.gov/mhddsas/
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- **WOMEN'S SET ASIDE**
- **CMHSBG Individual**

The protocol for selecting providers/records for the review is currently under development and will be shared with the LME/MCOs upon completion. The review tools and guidelines for this review will be posted on the DMH/DD/SAS website (<http://www.dhhs.state.nc.us/mhddsas/>) by April 30, 2013. Expectations are that a single review will be conducted for each MCO at a site designated by the MCO.

Team leaders have been assigned to each LME/MCO and will be in contact with the appropriate LME/MCO representative to schedule their review. Team leader assignments are as follows:

Jeff Howett

East Carolina Behavioral Health
Alliance Behavioral Healthcare
CoastalCare

Jerry Walton

Western Highlands Network
Smoky Mountain Center
MeckLINK Behavioral Healthcare
Partners Behavioral Health Management

Steve Cherry

CenterPoint Human Services
Sandhills Center
Eastpointe

Barbara Flood

Cardinal Innovations Healthcare Solutions

At the completion of the Block Grant reviews, the team will leave copies of any items reviewed and found out of compliance. This is an informal notification of out-of-compliance findings. Please note that revisions to the on-site findings may be made following later review of documentation by a monitoring Team Leader. You will be notified of any such revisions that directly affect your LME's compliance rating.

If you have any questions regarding the upcoming monitoring please feel free to call or email Jeff Howett at 252-560-1085, Jeff.Howett@dhhs.nc.gov or Steve Cherry at 252-916-9413, Steve.Cherry@dhhs.nc.gov.

CC: DMH/DD/SAS Executive Leadership Team
Mabel McGlothlen, LME Systems Performance Team
Dan Coughlin, NC Council of Community Programs